

ARIZONA DEPARTMENT OF RACING

LICENSE APPLICATION

Please Type or Print in Ink

Application Date: _____

☐ **Mail-In Application**

This license is temporary for 90 days. It may be suspended or revoked during this time period if information obtained during the background investigation is determined to be grounds for refusal to issue, approve or renew a license. (ARS § 5-108) Licenses are issued for 1-year terms. Horseracing licenses expire on June 30 each year and Greyhound and Mutuel licenses expire on January 31 each year. This document is a public record as defined in ARS § 41-1350, and is therefore open to public inspection. (ARS § 39-121)

ANNUAL LICENSE FEES & FINGERPRINT FEES

The Department accepts cash, checks or money orders in payment. Please make checks payable to ADOR. If payment of fees is made by personal check and that check is not on a valid account or drawn without sufficient funds the license issued shall be null and void and an automatic fine of \$25 shall be imposed. If the check is drawn from a foreign bank, the amount of the funds must be sufficient after conversion to US funds on the day of receipt to cover fees; otherwise, the check will be returned.

Track Participants		Owners/Trainers Kennel/Stable Operation	Vendors	Annual Permittee Fees
Assistant Starter/Valet \$15	Occupational \$15	Assistant Trainer \$150	Tote Company \$1,250	Commercial Permit (40 or less live days) \$1,000
Auth Agent - Full \$200	Official \$100	Temp Claim to Owner \$150	All Other Vendors \$500	Commercial Permit (40+ live days) \$2,500
Auth Agent- Partial \$50	OTB (Owners, Mgrs) \$15	Owner \$150	Vendor Employees \$50	Commercial Track Owner 10%+ \$150
Coolout/Leadout \$15	Outrider \$15	Trainer \$150		Commercial Track GM, Asst GM, CFO \$150
Exercise Rider \$15	Paddock Employee \$15	Owner/Trainer \$200		CF Manager and CF Treasurer \$100
Groom \$15	Plater/Farrier \$200	Greyhound Hauler \$50		County Fair Permit \$250
Jockey & Apprentice \$200	Pony Person \$15	Stable \$150		
Jockey Agent \$50	Security Employee \$15	RBO (Kennel/Racing OR Breeding) \$150		
Mutuel (incl OTB) \$15	Veterinarian \$200	Comb RBO (Racing/Breeding Combo) \$200		

Fingerprints \$22 – Submit 2 cards – ☐ New licenses - Required. ☐ Returning Licensees – every other year or as required by ADOR.

Applicant's Name

Last _____ First _____ Middle _____ (Jr, Sr., etc.) _____

Social Security No. _____ (Disclosure of your social security number is mandatory. ARS § 25-320) Date of Birth _____

Other Names You Have Used (maiden/other) _____

Arizona Track or OTB Where Participating: _____ H-2B Visa ☐ Yes ☐ No If "yes" need signature card

License Category: 1. _____ 2. _____
3. _____ 4. _____

Personal Information

Permanent Mailing Address Please be advised that the address listed below will be used for mailing all notices or other communication. It is the sole responsibility of the licensee to notify the Department of a change in mailing address.

Mailing Address _____ Apt/Suite _____ City _____ State/Zip _____

Local Address (if different) _____ Apt/Suite _____ City _____ State/Zip _____

Home Ph () _____ Work Ph () _____ Cell Ph () _____ E-Mail _____

Sex _____ Race _____ Eye _____ Hair _____ Height _____ Weight _____

Married ☐ Yes ☐ No If "Yes," Spouse's Name _____

Place of Birth _____ Are you a Citizen or National of the United States? ☐ Yes ☐ No
City, State (or equivalent) and Country

Racing Infractions

Has your racing license ever been denied, suspended, or revoked? ☐ Yes ☐ No

FOR OFFICIAL USE ONLY

License(s) Exp. _____

Date of issue _____

☐ New or ☐ Previously Licensed As _____

License Numbers Issued & Lic. Fee

Fingerprint Fee _____

Total Fees Due _____

Fee Paid By ☐ Cash _____

☐ Check/M.O. _____

Ck # _____

Change/Overpayment _____

Fingerprints

☐ Fingerprinted Cycle:

☐ 2012 ☐ 2013 ☐ 2014 ☐ 2015

☐ Applicant under 18, or 80 and over

Citizenship

Citizenship: ☐ Provided

☐ H-2B

RCI

☐ No Rulings ☐ Rulings Attached

AZ Racing Infractions

On List ☐ Yes ☐ No _____
Initials

If "Yes" forward to Stewards or Director for review and recommendation.

☐ Approve

☐ Approve with Conditions

☐ Deny

Director's Initials _____

Steward's Initials _____

Date _____

Comments _____

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CRIMINAL HISTORY BACKGROUND

All license applicants are required to provide answers to the criminal history background questions. Please read each question carefully and answer truthfully. An applicant who intentionally or recklessly provides false information concerning his/her criminal history background is subject to license suspension or revocation. A.R.S. § 5-108(E) A summary of offenses that may cause a license to be denied, suspended or revoked is available. **Any Outstanding Warrants found during the background investigation may result in immediate suspension of your license. Please ask for assistance if you do not understand a question or if you are unsure of your answer.**

1. Have you been arrested or charged with a criminal offense in the **past three (3) years**? This may include, but is not limited to: DUI/DWI, Domestic Violence, Outstanding Warrants, Summons, Indictment, Complaint or any charges where you have been taken into custody by the Police or any law enforcement agency..... **YES** **NO**
☐ ☐
2. Have you been convicted of a **misdemeanor** in the **past five (5) years**?..... ☐ ☐
3. Have you ever been convicted of a **felony**? ☐ ☐
4. Are you currently on **parole** or **probation**?..... ☐ ☐

IF YOU ANSWERED "YES" TO ANY OF THE ABOVE QUESTIONS – PLEASE PROVIDE AN EXPLANATION FOR EACH INCIDENT

Date	City	State	Offense (Nature of Charge)	Disposition/Final Results of Case

OWNERS – Please provide the following information.

1. How does your name appear on animal registration papers? _____
2. Who is your Arizona licensed trainer? _____
3. Do you race under a stable/kennel name in Arizona? ☐ Yes ☐ No
If you answered "Yes," what is the stable/kennel name? _____
4. List the names of the horses or greyhounds you plan to race in Arizona.

Name of Animal(s)	Name(s) of Other Owner(s) or Anyone With an Interest in the Named Animal	Address (City, State, Country)	Interest or % Owned

TRAINERS – List Owners for whom you are now training.

Name of Owner (Last, First, Middle Initial)	Address (City, State, Zip Code, Country)

I hereby make application for a license to be issued in accordance with the terms and provisions of the rules of the Arizona Racing Commission. I agree to strictly comply with the laws of the State of Arizona and with the Rules of the Arizona Department of Racing and the Arizona Racing Commission. I have read the License Application Terms and Conditions and I declare under penalty of perjury that I am a citizen or national of the United States, or that I am an alien who has the following status under federal law 8 USC. §§ 1621, 1641, or 1101, and certify that all the foregoing statements are true and correct to the best of my knowledge.

X _____ Date _____
Signature of License Applicant

LICENSING TECHNICIAN Processed (Initial) _____	INVESTIGATOR Reviewed (initial/date) _____ <input type="checkbox"/> Interviewed (initial/date) _____ Applicant states all arrests and convictions have been listed. (applicant initial/date) _____	BOARD OF STEWARDS <input type="checkbox"/> Approved <input type="checkbox"/> Denied (initial/date) _____
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ARIZONA DEPARTMENT OF RACING

LICENSE APPLICATION TERMS AND CONDITIONS

ARIZONA STATEMENT OF CITIZENSHIP AND ALIEN STATUS BY APPLICANTS FOR STATE PUBLIC BENEFITS – Professional and Commercial License

- **Qualified Alien status**
 - An alien lawfully admitted for permanent residence under the Immigration and Nationality Act (INA).
 - An alien who is granted asylum under § 208 of the INA.
 - A refugee admitted to the United States under § 207 of the INA.
 - An alien paroled into the United States for at least one year under § 212(d)(5) of the INA.
 - An alien whose deportation is being withheld under § 243(h) of the INA.
 - An alien granted conditional entry under § 203(a)(7) of the INA as in effect prior to April 1, 1980.
 - An alien who is a Cuban or Haitian entrant (as defined in § 501(e) of the Refugee Education Assistance Act of 1980.)
 - An alien who is, or whose child or child's parent is a "battered alien" or an alien subjected to extreme cruelty in the United States.
- **Nonimmigrant status**
 - A nonimmigrant under the Immigration and Nationality Act (8 USC § 1101 et seq. Nonimmigrants are persons who have temporary status for a specific purpose. See 8 USC § 1101(a)(15).
- **Alien Paroled into the United States for less than One Year**
 - An alien paroled into the United States for less than one year under § 212(d)(5) of the INA.
- **Other Persons**
 - A nonimmigrant whose visa for entry is related to employment in the United States.
 - A citizen of a freely associated state, if § 141 of the applicable compact of free association approved in Public Law 99-239 or 99-658 (or a successor provision) is in effect. (Freely Associated States include Republic of the Marshall Islands, Republic of Palau and the Federate States of Micronesia, 48 USC § 1901 et seq)
 - A foreign national not physically present in the United States
- **Otherwise Lawfully Present**
 - A person not described in any of the above categories who is otherwise lawfully present in the United States.

Submit one of the following documents in support of your declaration. PROVIDE A PICTURE ID if the document you are providing does not have a picture.

Exceptions: *Applicants who have applied through the National Racing Compact.*
Applicants for a temporary license who will provide documentation per ARS 5-107.01(F.)
Applicants who are citizens of a foreign country and not physically present in the United States.

- An Arizona driver license issued after 1996 or an Arizona nonoperating identification license.
 - A driver license issued by a state that verifies lawful presence in the United States. (The following states do not verify, and therefore are not acceptable: Hawaii, Illinois, New Mexico, Utah and Washington. Washington verifies only for credentials labeled as "Enhanced.")
 - Enhanced Driver License or ID Card issued by another state, territory or possession of the US.
 - E-Verification issued by the Department of Homeland Security verifying employment eligibility.
 - A Permanent Resident Card (green card)
 - A birth certificate or delayed birth certificate issued in any state, territory or possession of the United States.
 - A United States certificate of birth abroad.
 - A United States passport.
 - A foreign passport with a United States visa.
 - An I-94 form with a photograph.
 - Employment Authorization Card issued by the United States Citizenship and Immigration Services or refugee travel document.
 - A United States certificate of naturalization.
 - A United States certificate of citizenship.
 - A tribal certificate of Indian blood.
 - A tribal or bureau of Indian affairs affidavit of birth.
 - Any other license issued by the Federal Government, any other State Government, an Agency of this State or a Political Subdivision of this State that requires proof of citizenship or lawful alien status before issuing the license.
- Other acceptable document as determined by the Department. _____

ALL APPLICANTS – Please read carefully before signing.

License Application Instructions & Checklist - I have been provided with a copy of the Instructions and Checklist and acknowledge receiving a written explanation of the license application review timeframes established under the authority of A.R.S. § 41-1073, and detailed in A.A.C. R19-2-106 and R19-2-306.

Declaration of Citizenship or Alien Status – I declare under penalty of perjury that I am a citizen or national of the United States, or that I am an alien who has status under federal law 8 USC. §§ 1621, 1641, or 1101. If I am unable to provide acceptable documentation at time of application, I have been provided with a list of acceptable documents and will, in a reasonable time, provide a document acceptable to the Department.

Testing - I hereby acknowledge that pursuant to A.R.S. § 5-104(C) and as set forth in A.A.C. R19-2-112 and R19-2-311, as a licensee, I am required, if applicable to my license category, to submit to testing when there is reason to believe I am under the influence of or unlawfully in possession of any prohibited substance regulated by Title 13, Chapter 34, or have consumed alcohol in violation of A.A.C. R19-2-112 or R19-2-311.

Person and Premises Search - I consent to a search of my person, vehicles, tack rooms, stable area rooms, or stalls by representatives of the Department while on the grounds under the supervision of the Department (A.R.S. § 5-104(B)(E); A.A.C. R19-2-102(17) & R19-2-302(19); R19-2-121(E)(3)(a)(e) & R19-2-309(E)(3)(a)(e)).

Social Security Numbers - A.R.S. § 25-320 mandates that each licensing board or agency that issues professional or occupational licenses or certificates must obtain and record the social security number of an applicant for professional or occupational license or certificate in its database in order to aid the department of economic security in locating parents or their assets or to enforce child support orders. Therefore, it is mandatory that your social security number is provided on this application. When social security numbers appear on public records, and copies of such records become the subject of a records request, social security numbers must be redacted from the document.

Arizona Workers' Compensation Compliance - Arizona law requires that all employers doing business in Arizona carry workers' compensation insurance. The Industrial Commission of Arizona routinely checks employers at Arizona racetracks for workers' compensation compliance. Failure to comply with the provisions of A.R.S. Title 23, Chapter 6, may result in enforcement action through The Industrial Commission of Arizona. Civil Penalties may be imposed and you may also be subject to an injunction to close your business operations until you obtain the mandatory insurance.